

# United States Patent and Trademark Office

UNITED STATES DEPARTMENT OF COMMERCE United States Patent and Trademark Office Address: COMMISSIONER FOR PATENTS P.O. Box 1450 Alexandria, Virginia 22313-1450 www.uspto.gov

DATE MAILED: 12/15/2006

CONFIRMATION NO. APPLICATION NO. FILING DATE FIRST NAMED INVENTOR ATTORNEY DOCKET NO. 01/07/2004 10/752,977 AP35474-067691.0205 Chris Harrison 4797 30873 **EXAMINER** 7590 12/15/2006 **DORSEY & WHITNEY LLP** PIGGUSH, AARON C INTELLECTUAL PROPERTY DEPARTMENT ART UNIT PAPER NUMBER 250 PARK AVENUE NEW YORK, NY 10177 2838

Please find below and/or attached an Office communication concerning this application or proceeding.

|  | Application No.   | Applicant(s)  | _  |
|--|---|---|----|
|  | 10/752,977  | HARRISON, CHRIS   |    |
| Office Action Summary  | Examiner  | Art Unit  | -  |
|  | Aaron Piggush   | 2838  |    |
| The MAILING DATE of this communication app   |   | ith the correspondence address  | _  |
| Period for Reply   |   | ·.  |    |
| A SHORTENED STATUTORY PERIOD FOR REPLY WHICHEVER IS LONGER, FROM THE MAILING DA  - Extensions of time may be available under the provisions of 37 CFR 1.13 after SIX (6) MONTHS from the mailing date of this communication.  - If NO period for reply is specified above, the maximum statutory period v  - Failure to reply within the set or extended period for reply will, by statute, Any reply received by the Office later than three months after the mailing earned patent term adjustment. See 37 CFR 1.704(b). | ATE OF THIS COMMUNI 36(a). In no event, however, may a will apply and will expire SIX (6) MON, cause the application to become Al | CATION. reply be timely filed NTHS from the mailing date of this communication. BANDONED (35 U.S.C. § 133). | :  |
| Status   |   |   |    |
| 1) Responsive to communication(s) filed on 13 N  | ovember 2006.   |   |    |
| 2a) This action is <b>FINAL</b> . 2b) ⊠ This   | action is non-final.  |   |    |
| 3) Since this application is in condition for allowar  |   |   |    |
| closed in accordance with the practice under E   | Ex parte Quayle, 1935 C.E   | ). 11, 453 O.G. 213.  |    |
| Disposition of Claims  |   |   |    |
| 4) Claim(s) 1-45 is/are pending in the application.  |   |   |    |
| 4a) Of the above claim(s) is/are withdray  |   |   |    |
| 5) Claim(s) is/are allowed.  |   |   |    |
| 6)⊠ Claim(s) <u>1-45</u> is/are rejected.  |   |   |    |
| 7) Claim(s) is/are objected to.  |   | •   |    |
| 8) Claim(s) are subject to restriction and/o   | r election requirement.   |   |    |
| Application Papers   |   |   | ٠. |
| 9)☐ The specification is objected to by the Examine  | er.   | . :   | 1  |
| 10)⊠ The drawing(s) filed on <u>07 January 2004</u> is/are:  | •   | objected to by the Examiner.  | ;  |
| Applicant may not request that any objection to the  |   | :   | •  |
| Replacement drawing sheet(s) including the correct   | tion is required if the drawing   | ı(s) is objected to. See 37 CFR 1.121(d).   |    |
| 11) The oath or declaration is objected to by the Ex   | caminer. Note the attache   | d Office Action or form PTO-152.  |    |
| Priority under 35 U.S.C. § 119   |   |   |    |
| 12) ☐ Acknowledgment is made of a claim for foreign  | priority under 35 U.S.C.  | § 119(a)-(d) or (f)   | ,  |
| a) ☐ All b) ☐ Some * c) ☐ None of:   | priority ariable of c.c.c.  | 3 1 10 (4) (5) (1)  |    |
| 1. Certified copies of the priority document   | s have been received.   |   |    |
| 2. Certified copies of the priority document   | s have been received in A   | Application No  |    |
| 3. Copies of the certified copies of the prior   | rity documents have beer  | received in this National Stage   |    |
| application from the International Bureau  |   | i i   |    |
| * See the attached detailed Office action for a list   | of the certified copies not   | received.   | :  |
|  | •   |   |    |
|  | •   | *   |    |
| Attachment(s)  |   | ;   |    |
| 1) Anotice of References Cited (PTO-892)   |   | Summary (PTO-413)   |    |
| <ul> <li>2) Notice of Draftsperson's Patent Drawing Review (PTO-948)</li> <li>3) Information Disclosure Statement(s) (PTO/SB/08)</li> </ul>  |   | (s)/Mail Date<br>Informal Patent Application  |    |
| Paper No(s)/Mail Date  | 6) 🔲 Other:   | <del>_</del>  |    |

Art Unit: 2838

### **DETAILED ACTION**

## Claim Rejections - 35 USC § 103

- 1. The following is a quotation of 35 U.S.C. 103(a) which forms the basis for all obviousness rejections set forth in this Office action:
  - (a) A patent may not be obtained though the invention is not identically disclosed or described as set forth in section 102 of this title, if the differences between the subject matter sought to be patented and the prior art are such that the subject matter as a whole would have been obvious at the time the invention was made to a person having ordinary skill in the art to which said subject matter pertains. Patentability shall not be negatived by the manner in which the invention was made.
- 2. Claims 1, 3, 6-13, 15, 17, 20-27, 29, 31, 34-41, and 43-45 are rejected under 35 U.S.C. 103(a) as being unpatentable over Notten (US 6,016,047).

With respect to claims 1, 15, and 29, Notten discloses a battery charger, a process, and a storage medium configured to provide temperature-regulated charging of a battery, comprising:

a processing arrangement, steps, and a software arrangement (col 9 ln 1-27 and col 2 ln 35-45) operable to:

- (a) obtain a temperature data associated with the battery (col 8 ln 5-35); and
- (b) apply a particular amount of a charge to the battery, based on the temperature data of the battery wherein the processing arrangement is configured to maintain the battery at a predetermined threshold temperature during a time period in which the charge is applied to the battery (col 26 ln 5-35).

However, Notten does not expressly disclose wherein the battery is maintained at a predetermined threshold temperature during at least a majority of an entire time period in which the charge is applied to the battery.

Art Unit: 2838

It has been held that where the general conditions of a claim are disclosed in the prior art, discovering the optimum or workable ranges involves only routine skill in the art. *In re Aller*, 105 USPQ 233.

At the time of the invention, it would have been obvious to a person of ordinary skill in the art to maintain the battery at a predetermined threshold temperature during at least a majority of an entire time period in the device of Notten, so that the battery could be charged more fully or quickly (col 26 ln 10-17) while preventing damage from charging at too high or low of temperatures.

With respect to claims 3, 17, and 31, Notten discloses wherein the charge is applied to the battery until charging of the battery is substantially completed (col 26 ln 10-35 and Fig. 8a). Furthermore, the charging of the battery can be considered to be substantially completed much later than just when the battery reaches its peak voltage level.

With respect to claims 6, 20, and 34, Notten discloses the charger, process, and storage medium further comprising at least one temperature sensor mounted on or in the battery, wherein the temperature sensor measures the temperature of the battery (no. 126 in Fig. 1 and 2 and col 26 ln 5-35).

With respect to claims 7, 21, and 35, Notten discloses the charger, process, and storage medium further comprising at least one temperature sensor, wherein the temperature sensor measures an ambient temperature (col 26 ln 5-35).

With respect to claims 8, 22, and 36, Notten discloses wherein the charge applied to the battery allows a maximum charge intensity during charging of the battery as a function of the temperature data without damaging the battery (col 26 ln 5-35). Additionally, it is implied that

Art Unit: 2838

temperature (or voltage control) of battery charging is used in order to provide protection to the battery (i.e. prevent damage)

With respect to claims 9, 23, and 37, Notten discloses wherein the processing arrangement regulates the particular amount of the charge supplied to the battery as a function of the temperature data (col 26 ln 5-35).

With respect to claims 10, 24, and 38, Notten discloses wherein the processing arrangement regulates the particular amount of the charge to be at least one of gradually increased or gradually decreased (Fig. 8a and 10a and col 26 ln 50-64).

With respect to claims 11, 25, and 39, Notten discloses wherein the charge applied to the battery is based on one of voltage measurements and temperature measurements of the battery (col 26 ln 5-35).

With respect to claims 12, 26, and 40, Notten discloses wherein the amount of the charge provided to the battery is capable of being increased based on a change in the temperature data of the battery (col 26 ln 5-35). Additionally, it is well known that a battery cell at a higher temperature can receive a charge at a higher rate (while avoiding damage) than a cell at a lower temperature. Therefore, it is safe and capable of receiving a higher amount of charge when it is at a higher temperature (up to a certain point or threshold, wherein after that point, damage can occur).

With respect to claims 13, 27, and 41, Notten discloses wherein the battery comprises at least one of a nickel metal hydride battery, a nickel cadmium battery, a lead acid battery, or a lithium ion battery (col 10 ln 50-55 and col 7 ln 18-46).

Art Unit: 2838

With respect to claims 43-45, Notten discloses wherein the time period is the period from a start of a charge of the battery and ends approximately when a peak charge of the battery has occurred (col 26 ln 5-35 and Fig. 4, 8a, and 10a). Furthermore, it should be noted that the claim language does not specifically state that the temperature cannot be maintained after the time period has ended, just that it needs to be maintained during that time period.

3. Claims 2, 4, 14, 16, 18, 28, 30, 32, and 42 are rejected under 35 U.S.C. 103(a) as being unpatentable over Notten (US 6,016,047) in view of Farley (US 5,767,659).

With respect to claims 2, 16, and 30, Notten discloses the charger, process, and storage medium further operable to:

(c) obtain a voltage data associated with the battery (col 7 ln 59-63), however, does not expressly disclose applying a charge to the battery, the charge being determined based on the voltage data of the battery.

Farley discloses applying a charge to a battery, the charge being determined based on the voltage data of the battery (col 13 ln 33-36 and ln 58-61), in order to accurately apply charge to the battery while avoiding damage from overcharging.

At the time of invention, it would have been obvious to a person of ordinary skill in the art to apply a charge to the battery being determined based on the voltage data of the battery in the device of Notten, as did Farley, so that the battery would have additional protection from damage due to overcharging the battery.

With respect to claims 4, 18, and 32, Notten does not expressly disclose wherein the charger, process, and storage medium are further operable to reading a voltage of the battery to determine if charging of battery is substantially complete.

Art Unit: 2838

Farley discloses reading a voltage of the battery to determine if charging of the battery is substantially complete (col 14 ln 48-62 and col 13 ln 32-36 and ln 58-62), in order to prevent damage to the battery from overcharging, which in turn would also prevent the waste of electricity.

At the time of the invention, it would have been obvious to a person of ordinary skill in the art to determine if the charging of the battery is substantially complete by reading a voltage of the battery, so that charging could be stopped when it was finished which would prevent damage/wear to the battery and prevent the waste of electricity.

With respect to claims 14, 28, and 42, Notten does not expressly disclose the charger, process, and storage medium further operable to cool the battery using a cooling arrangement.

Although, it is known that the reduction of the current input to the battery lowers the temperature created by that large current, which is also noted below with the Farley reference.

Farley discloses cooling of a battery using a cooling arrangement (col 3 ln 26-33 and col 8 ln 54-58), in order to avoid damaging the battery by charging at dangerously high temperatures. Furthermore, the reduction of the current input to the battery lowers the temperature created by that large current.

At the time of the invention, it would have been obvious to a person of ordinary skill in the art to include a cooling arrangement in the device of Notten, as did Farley, so that overheating the battery could be avoided, which in turn would help prevent damage from occurring to the battery due to extremely high temperatures. A narrower interpretation of claims 14, 28, and 42 is addressed and alternatively rejected under 35 U.S.C. 103, as seen below.

Art Unit: 2838

4. Claims 5, 19, and 33 are rejected under 35 U.S.C. 103(a) as being unpatentable over Notten (US 6,016,047) and Farley (US 5,767,659) as applied to the claims above, and further in view of Podrazhansky (US 5,889,385).

With respect to claims 5, 19, and 33, Notten discloses measuring a first voltage of the battery (col 7 ln 59-63), however, does not expressly disclose the remaining portions of the claim.

Farley discloses wherein a charger, process, and storage medium are further operable to:

- (c) measure a first voltage across a terminal of the battery (first box labeled "read battery voltage store" in Fig. 8a);
- (d) measure a second voltage across the terminals of the battery after step (c) (second box labeled "read battery voltage store" in Fig. 8a);
- (e) determine a difference between the first voltage and the second voltage (no. 81 in Fig. 8a and col 9 ln 36-40);

However, Farley does not expressly disclose step (f) wherein procedures (c)-(e) are repeated until charging of the battery is substantially complete.

Podrazhansky discloses measuring first and second voltages of a battery (no. 305 in Fig. 3A), determining a difference between the first and second voltages (no. 305 in Fig. 3A), and repeating those steps until charging of the battery is substantially complete (no. 310 in Fig. 3A, no. 325 in Fig. 3B, pathways A, B, and C in Fig. 3A and 3B, and col 15 ln 15-29), in order to fully charge the battery while avoiding an overcharge, which would result in damage to the battery.

Art Unit: 2838

At the time of the invention, it would have been obvious to a person of ordinary skill in the art to include a repetition of the above mentioned steps in the charger, process, and storage medium of Notten, as did Farley and Podrazhansky, until the charging of the battery is substantially complete, so that the battery could be fully charged more efficiently without being overcharged and damaged.

5. Claims 14, 28, and 42 are alternatively rejected under 35 U.S.C. 103(a) as being unpatentable over Notten (US 6,016,047) in view of Yagi (US 6,188,202).

With respect to claims 14, 28, and 42, an alternative and more narrow interpretation of these claims is addressed wherein the cooling arrangement is a device or means other than reducing the current input to the battery.

Yagi discloses a cooling fan used to reduce the temperature of the battery under charge (no. 16 in Fig. 1 and col 2 ln 60-61 and col 3 ln 20-25), in order to avoid damage to the battery from an extremely high temperature.

At the time of the invention, it would have been obvious to a person of ordinary skill in the art to include a fan as the cooling arrangement in the charger, process, and storage medium of Notten, as did Yagi, so that damage from an extremely high temperature could be avoided.

## Response to Arguments

6. Applicant's arguments filed November 13, 2006 with respect to the claims have been considered but are most in view of the new ground(s) of rejection. Please see the rejections above.

Art Unit: 2838

### **Conclusion**

Any inquiry concerning this communication or earlier communications from the examiner should be directed to Aaron Piggush whose telephone number is 571-272-5978. The examiner can normally be reached on Monday-Friday 8:30am-5:00pm.

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Karl Easthom can be reached on 571-272-1989. The fax phone number for the organization where this application or proceeding is assigned is 571-273-8300.

Information regarding the status of an application may be obtained from the Patent Application Information Retrieval (PAIR) system. Status information for published applications may be obtained from either Private PAIR or Public PAIR. Status information for unpublished applications is available through Private PAIR only. For more information about the PAIR system, see http://pair-direct.uspto.gov. Should you have questions on access to the Private PAIR system, contact the Electronic Business Center (EBC) at 866-217-9197 (toll-free). If you would like assistance from a USPTO Customer Service Representative or access to the automated information system, call 800-786-9199 (IN USA OR CANADA) or 571-272-1000.

AP

dolf Deneke Berhene Primary Examiner